1	Changes in the cladoceran community of Lake Superior and the role of Bythotrephes longimanus
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38 Abstract

39 Introductions of Bythotrephes longimanus have resulted in reduced cladoceran species richness and 40 biomass in the Laurentian Great Lakes and many inland lakes. Bythotrephes was first observed in Lake 41 Superior in 1987 but its effect on the cladoceran community has been unknown. We compared the 42 composition of the offshore cladoceran community of Western Lake Superior during 2014 and 2015 to 43 zooplankton surveys from 1971-2001 to determine whether changes in the cladoceran community have occurred. Monthly comparisons show that the contribution of Bosmina longirostris to offshore 44 45 cladoceran numbers was generally twice as much in the 1970s than during 2014-2015 while the relative contribution of Daphnia mendotae increased after the 1970s. These community changes are consistent 46 47 with changes due to *Bythotrephes* observed in other lakes. To evaluate evidence for the role of Bythotrephes in these community changes, we used data from 2014-2015 to analyze patterns in spatial 48 49 and vertical overlap between Bythotrephes and its cladoceran prey species (Bosmina, Daphnia, and 50 Holopedium) and compared estimates of consumption by Bythotrephes to production of these potential 51 prey. Bosmina was the species whose vertical position and rate of production made it most vulnerable to 52 suppression by *Bythotrephes*. Of the potential cladoceran prey species, *Bosmina* densities were also the 53 most negatively correlated with Bythotrephes densities. These findings support a hypothesis of top-down 54 effects on *Bosmina* by *Bythotrephes* in Lake Superior. This work informs future zooplankton research in 55 Lake Superior and furthers our understanding of the effects of Bythotrephes on the Lake Superior food 56 web.

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58	Keywords:	Bythotrephes,	Lake Superior,	Zooplankton,	Great Lakes,	Invasive s	pecies, Da	phnia
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66 Introduction

67 Species introductions in aquatic ecosystems can alter the abundance and community composition of zooplankton (Brooks and Dodson, 1965; Carpenter et al., 1987). In North America, introductions of 68 69 Bythotrephes longimanus, a predatory cladoceran native to northern Europe and Asia (Lehman, 1987; 70 Burkhardt and Lehman, 1994), have resulted in reduced zooplankton species richness (particularly in 71 cladocerans) and abundance in small inland lakes and in the Great Lakes (Barbiero and Tuchman, 2004; 72 Strecker et al., 2006; Azan et al., 2015). Bythotrephes selects slow-moving cladocerans such as Bosmina 73 longirostris and Daphnia spp. (Vanderploeg et al., 1993; Grigorovich et al., 1998; Schulz and Yurista 74 1999). Bosmina and Daphnia consistently become less abundant in lakes following Bythotrephes 75 invasion and multiple studies suggest that this is a direct result of consumption by *Bythotrephes* (Yan and 76 Pawson, 1997; Yan et al., 2002; Strecker et al., 2006; Kerfoot et al., 2016).

77 Rapid cladoceran community changes in the Great Lakes following *Bythotrephes* invasion 78 occurred in Lake Michigan in the late 1980s, when two of three common *Daphnia* species nearly 79 disappeared within a year of the first detection of *Bythotrephes* (Lehman, 1988; Lehman, 1991; Lehman 80 and Cáceres, 1993). Daphnia mendotae has remained common in Lake Michigan since Bythotrephes 81 establishment, but appears to have done so partly by reducing the extent of its vertical overlap with 82 Bythotrephes (Pangle and Peacor, 2006; Pangle et al., 2007). Other small cladocerans such as Bosmina 83 have also become less common in Lake Michigan since the establishment of *Bythotrephes* (Makarewicz et al., 1995; Schulz and Yurista, 1999). Similar changes have been described in the cladoceran 84 85 communities of Lakes Huron and Erie (Barbiero and Tuchman, 2004; Bunnell et al., 2012). In Lake 86 Ontario, Bosmina longirostris and Eubosmina spp. abundance has declined by more than half since 2003 87 (Barbiero et al., 2014; Rudstam et al., 2015). These changes coincided with an order of magnitude increase in Bythotrephes abundance after 2003 which suggests that Bythotrephes has exerted top-down 88 89 control on bosminids in Lake Ontario.

90 Bythotrephes was first detected in Lake Superior, the largest lake on Earth by surface area, in 91 1987 (Cullis and Johnson, 1988), but its effect on the zooplankton community is largely unknown. The 92 Lake Superior zooplankton community is calanoid-dominated in terms of density and biomass (Patalas, 93 1972; Barbiero et al., 2001). Historically, the cladoceran community in Lake Superior was dominated by 94 three species including Bosmina longirostris, Daphnia mendotae, and Holopedium gibberum (hereafter 95 referred to by genus, unless otherwise noted). Brown and Branstrator (2004) reported a lower abundance 96 of Bosmina in August of 2001 compared to observations from the early 1970s. Though reductions in 97 *Bosmina* abundance are consistent with planktivory by *Bythotrephes*, the authors could not clearly 98 demonstrate such a relationship given the temporal limitations of their dataset. Zooplankton surveys

99 spanning multiple sampling seasons are needed to determine whether these or other community changes100 have occurred in the decades since *Bythotrephes* establishment.

101 Previous studies in Lakes Michigan and Huron have suggested that consumption by *Bythotrephes* 102 can exceed production of cladocerans (Lehman and Cáceres, 1993; Bunnell et al., 2011; Bunnell et al., 103 2012). Consumption by Bythotrephes has not yet been estimated in Lake Superior. Bythotrephes 104 densities in Lake Superior are generally lower than in Lakes Michigan and Huron (Barbiero et al., 2001; 105 Brown and Branstrator, 2004; Brown et al., 2012; Pothoven et al., 2012; Bunnell et al., 2014). However, 106 Lake Superior is also colder and less productive than the other Great Lakes (Patalas 1972) and the 107 consumptive demands of *Bythotrephes* in Lake Superior may still approach the rate of production of their preferred prey species. Cladoceran production is subject to a variety of losses other than by invertebrate 108 109 predation. Thus, increased mortality due to *Bythotrephes* predation might be enough to reduce cladoceran 110 abundance even if consumption by *Bythotrephes* does not exceed cladoceran production.

111 The addition of *Bythotrephes* to the Lake Superior food web is not the only important change that 112 has occurred in this ecosystem in recent decades. Since the 1970s, population densities of planktivorous 113 fish have fluctuated in Lake Superior and average summer surface temperatures have increased (Austin 114 and Colman, 2007; Gorman, 2012; Pratt et al., 2016). One key change in the planktivorous fish 115 community since the 1970s has been an overall increase in lake herring (*Coregonus artedi*) densities. 116 Increasing vertebrate planktivory would be expected to cause a downward shift in the average body size 117 of zooplankton (Brooks and Dodson, 1965). Temperature is a key factor driving zooplankton production 118 and spatial aggregation in Lake Superior and increases in surface temperature might increase zooplankton 119 production and density (Watson and Wilson, 1978; Zhou et al., 2001). In addition, the increase in 120 summer surface temperatures in Lake Superior since the 1970s has the potential to favor warm water taxa 121 such as small cladocerans (Lehman, 2002). Though zooplankton abundance and production can also be 122 influenced by changes in primary production, changes in food quality and quantity for herbivorous 123 zooplankton are unknown over the period of Bythotrephes invasion. However, changes in food 124 availability would be expected to have similar effects on the densities of all herbivorous zooplankton 125 rather than effects on specific taxa. Therefore, while changes in temperature, vertebrate planktivory, and 126 primary production all can cause changes in zooplankton community structure, the effects of these 127 ecosystem changes on the cladoceran community should be distinguishable from top-down effects by 128 Bythotrephes.

The purpose of this study is to determine whether long-term changes in the cladoceran
community of Lake Superior have occurred since the introduction of *Bythotrephes*. Based on changes
observed in the cladoceran communities of the other Great Lakes and smaller, inland lakes

132 following *Bythotrephes* invasion, we hypothesized that small cladocerans such as *Bosmina* would be less

- 133 common in offshore areas of Lake Superior than before *Bythotrephes* invasion. To test this, we compared
- the offshore cladoceran community observed during the 2014 and 2015 growing seasons to past
- zooplankton surveys. Further, we used the data from 2014 and 2015 to evaluate three lines of evidence
- that *Bosmina, Daphnia,* and *Holopedium* populations are currently negatively impacted by the presence
- 137 of *Bythotrephes*. These lines of evidence were: 1) the extent of synchronous spatial overlap among the
- prey taxa and *Bythotrephes*, 2) patterns in vertical position of the prey taxa relative to *Bythotrephes*, and
- 139 3) the difference in temperature-driven production of the prey taxa versus temperature-driven
- 140 consumptive demands of *Bythotrephes*.
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142 Methods

143 Sampling sites and dates

Zooplankton and water temperature data were collected in the western arm of Lake Superior (Fig. 144 1) from the R/V Blue Heron. In 2014, collections occurred on June 3-6, July 23-25, August 11-14, 145 August 17-19, October 1-2, and October 16-19; in 2015 collections occurred on May 20-22, July 15-17, 146 147 September 8-10, October 5-7, and October 16-19. The number of stations visited in each month is indicated in Table 2. Stations 5, 12, and 15 were sampled in every month and station 7 was sampled in 148 every month except July and October of 2014 (Fig. 1). The remaining stations were sampled on only one 149 150 or two occasions. Zooplankton samples were collected primarily during low light conditions (between 151 dusk and dawn) although some samples were collected during daylight hours. Additional archived 152 zooplankton samples collected at station 6 were available from the summer of 1996 (See Table 1 for 153 details). All stations visited were greater than 70 m in depth and the depths and coordinates of all stations 154 are described in Appendix A.

155 Zooplankton collection

Zooplankton were collected using a conical plankton net with a mouth opening of 1-meter diameter, 153 µm mesh, and a 4:1 aspect ratio (length to opening). A metered winch was used to collect vertical tows to depths of 60 m and 15 m at each site to study both total zooplankton and the shallow dwelling taxa. Recent studies have shown that more than 90% of the zooplankton biomass in Lake Superior exists at depths less than 50 m (Oliver et al., 2014; Pratt et al., 2016). At all stations visited after July of 2014, time allowed for triplicate samples to be taken at both depths to increase accuracy in zooplankton density estimates. Zooplankton samples were preserved in 70% ethanol (final Figure 1 here 163 concentration). In 2015, nets were equipped with a RBR TWR-2050 pressure gauge to verify that nets
164 reached target depths. The gauge was secured to the steel bridle at the mouth opening of the net and was
165 sensitive to changes of 0.0001 dbar. Pressures were converted to depth according to Sea-Bird Electronics,
166 Inc. (2002) as:

167
$$depth(m) = pressure(dbar) \times 1.0197$$
 (Eq. 1)

168 Zooplankton processing

Specimens were sorted, counted, and identified under a Nikon SMZ 1500 dissecting microscope. 169 170 Identification was done to species level according to Balcer et al. (1984). All Bythotrephes and Leptodora kindtii were removed with forceps and counted in full. Replicate subsamples were taken until 171 172 approximately 100 individuals of each taxon were counted. For less abundant taxa, larger subsample 173 volumes were used and counting stopped when adequate replication of individuals per subsample was 174 achieved or 10% of the sample volume was counted. The lengths of the first ten individuals in each taxon 175 were measured using the ocular micrometer. The lengths of all *Bythotrephes* and *Leptodora* were 176 measured using the method in Branstrator (2005). For Bythotrephes, the number of barbs on the caudal 177 spine (indicator of developmental instar) of each individual was also recorded for later consumption 178 estimates. The length of each taxon was averaged for each sample (June-July 2014) or set of triplicate 179 samples (Aug 2014-Oct 2015).

Areal (individuals m⁻²) and volumetric (individuals m⁻³) densities of each taxon were calculated.
Volumetric density calculations were based on the amount of cable paid out (15 m or 60 m) for all
stations. Estimated densities in triplicate tows were averaged for analyses.

183 Zooplankton biomass and taxonomic composition

The average individual dry weight of *Bosmina*, *Daphnia*, and *Holopedium* in a sample was estimated using the average length of the species and the length-weight regressions described in Bottrell et al. (1976), Persson and Ekbohm (1980), and Dumont et al. (1975), respectively. Average individual dry weight was multiplied by the average density of the species to estimate total biomass for that species in each tow.

Large cladocerans shrink when placed in preservatives (Yan and Pawson, 1998). This is
problematic because length-weight regressions for *Bythotrephes* and *Leptodora* were based on
unpreserved animals (Branstrator, 2005). To estimate the original lengths of preserved *Bythotrephes* and *Leptodora* individuals for biomass estimates, 64 live *Bythotrephes* (17, 36, and 11 individuals of instar 1,
and 3, respectively), and 98 live *Leptodora* individuals were measured to the nearest tenth of a

millimeter and placed in 70% ethanol for three weeks. Because of the difficulties associated with

- 195 collecting and handling live zooplankton in Lake Superior, *Bythotrephes* were collected in Island Lake
- 196 Reservoir (Duluth, MN) on July 1, 2015 and *Leptodora* were collected in Caribou Lake (Duluth, MN) on
- 197 July 7, 2015. After three weeks of storage in ethanol, each individual was re-measured. To determine
- 198 whether *Bythotrephes* shrinkage was instar-specific, the shrinkages of each instar were compared using
- 199 one-way ANOVA ($\alpha = 0.05$).

200Bythotrephes core body length (length of animal excluding caudal spine) and Leptodora total201body length shrank an average of 19.45% and 16.67%, respectively, when preserved in 70% ethanol.202One-way ANOVA indicated that shrinkage in Bythotrephes was not instar-specific ($p = 0.51, F_{2,61} =$ 2030.689) and therefore all instars were combined for the Bythotrephes shrinkage regression. The fresh204lengths of Bythotrephes (equation 2) and Leptodora (equation 3) preserved in 70% ethanol can be205predicted as:

206 Fresh length (mm) =
$$0.8166 l_p$$
 (mm) - 0.0366 , $n = 64, R^2 = 0.8083$ (Eq. 2)
207 Fresh length (mm) = $0.8953 l_n$ (mm) - 0.3038 , $n = 97, R^2 = 0.9193$ (Eq. 3)

where l_p is the length of the preserved individual. The lengths of *Bythotrephes* and *Leptodora* captured in Lake Superior in this study were corrected for shrinkage before biomass estimates were made. Because all *Bythotrephes* and *Leptodora* present in the samples were measured, biomass estimates for these species were based on the sum of all individuals in a sample.

212 We compared the monthly composition of the offshore herbivorous cladoceran community (in 213 terms of percent contribution to total numbers) observed in 2014-2015 to historical estimates of 214 cladoceran composition starting as early as the 1970s to identify long-term changes in the cladoceran 215 community. Changes in absolute densities of cladocerans over time could not be compared because 216 densities were not consistently reported in the literature. To account for the relatively low number of 217 stations visited in some months, we used a bootstrapping technique in R software to estimate the average 218 density of each species in each month sampled. This was done by randomly selecting density estimates 219 from the 60 m net tows from each month 1000 times with replacement and calculating average monthly 220 densities and standard errors from the vectors of density estimates. The resulting average monthly densities of each species were used to calculate percent contribution of each species to total offshore 221 222 herbivorous cladoceran numbers in each month sampled.

Data for historical comparisons came from a variety of sources and we subsetted observations from these sources that were from comparable depths (stations greater than 60 m) and regions of the lake to eliminate depth-related biases from long-term community comparisons. Sources of historical data aredescribed in Table 1.

227 Determining the vertical distributions of cladocerans

We compared the estimated areal densities (individuals m⁻²) of *Bythotrephes*, *Bosmina*, *Daphnia*, and *Holopedium* in the 15 m and 60 m tows taken at each of the stations using a separate paired, onetailed *t*-test ($\alpha = 0.05$) for each species. These tests were done separately for 2014 and 2015 samples. Because areal densities are not adjusted for the depth of the net tow, it can be inferred that most individuals of a species were present in the upper 15 m of water when the average density of the species in 15 m and 60 m tows are not significantly different. A species whose average areal density is significantly higher in 60 m tows must be present at depths greater than 15 m in considerable numbers.

235 *Spatial overlap of* Bythotrephes *and cladoceran prey*

To determine whether increased densities of *Bythotrephes* are associated with decreased densities of their potential cladoceran prey species, we plotted the simultaneous densities of *Bythotrephes* with *Bosmina*, *Daphnia*, and *Holopedium*. The densities of each species were displayed as the percent of the maximum observed density for each respective species to control for differences in the ranges of observed densities between taxa. Densities derived from 15 m and 60 m tows were plotted separately to control for the potential effect of depth on estimated species density. Data from 2014 and 2015 were pooled for these comparisons.

243 Bythotrephes consumptive demands and prey production

244 Two published models were used to estimate average daily *Bythotrephes* consumptive demands 245 and average daily production of the available cladoceran prey for each month sampled. Both models are 246 based on temperature and estimated biomass. Temperature data were from CTD (Seabird Electronics) 247 casts taken at zooplankton sampling sites. Past work suggests that in lakes with planktivorous fish such 248 as lake herring, *Bythotrephes* generally remains in the upper 15 m of water during day and night (Young 249 and Yan, 2008). For this reason, estimates of Bythotrephes consumptive demands and cladoceran 250 production were based on biomass estimates from 15 m net tows only. The use of biomass data from 15 251 m tows also reduced uncertainty regarding vertical overlap between predator and prey compared to 252 biomass data from 60 m tows.

Because of the relatively few stations sampled in some months and the potential biases that could result from differences in the frequency of sampling at certain stations, *Bythotrephes* consumption and prey production estimates were made by bootstrapping the temperature and biomass observations from stations 5, 7, 12, and 15 (Fig. 1). These four stations were sampled every month with the exception of
July of 2014 and October of 2014, when station 7 was not sampled. The bootstrapping procedures used
in consumption and production estimates are described below.

259

260 *Estimating* Bythotrephes *consumptive demands*

Bythotrephes has three developmental instars and the consumptive demand (*C*) of each instar was estimated separately for each month sampled using the instar-specific general linear models from Yurista et al. (2010). Model parameters include epilimnetic temperature, median individual dry weight of each instar, and the density of each instar. Because the number of *Bythotrephes* caught in some months was sometimes limited, we determined the median individual dry weight for each instar during each month using all of the individuals observed in that month. Thus, all monthly consumption estimates for an instar used the same month-specific individual dry weight for that instar.

268 The other model parameters, epilimnetic temperature and instar density, were bootstrapped by 269 resampling the monthly observations 1000 times with replacement. This generated a single vector of 270 1000 temperature estimates for each month and a monthly density vector for each instar. Because 271 temperature and instar densities were bootstrapped separately, the temperature and density parameters 272 from each station were decoupled from one another. We did this to generate the largest possible range of 273 monthly consumption estimates possible based on observed conditions. Model inputs were pulled component by component from the bootstrapped vectors of epilimnetic temperature and instar density 274 into the instar-specific consumption equations. This generated 1000 population consumption estimates 275 276 for each instar in each month. The three resulting instar consumption vectors for each month were 277 summed to generate 1000 estimates of consumption for the entire *Bythotrephes* population which were 278 then used in later surplus and deficit production estimates.

279

280 Estimating cladoceran production

281 Production (*P*) was estimated for each herbivorous cladoceran species individually based on
282 Shuter and Ing (1997) as:

$$P = 10^{\alpha_{taxon} + \beta \times t(^{\circ}C)} \times B$$
 (Eq. 4)

where α_{taxon} is a cladoceran-specific intercept (-1.725), β is the slope (0.044), *B* is the biomass (dry weight) of each cladoceran species, and *t* is the average daily temperature in the upper 15 m of water. We chose this model for estimating cladoceran production over other methods (i.e., the egg ratio method) because the time intervals between sampling events in this study were too long to accurately estimate the rate of population growth used in other methods. In addition, previous studies have shown that the *P*:*B*method of Shuter and Ing (1997) produces reliable estimates of production for the small herbivorous
cladoceran taxa observed in Lake Superior (Stockwell and Johannsson, 1997; Carter and Schindler,
2012).

292 The bootstrapping protocol for cladoceran production estimates was similar to that used for 293 Bythotrephes consumption. Prey biomasses in each month were bootstrapped together by resampling the 294 biomass observation for each species at each station 1000 times with replacement. Componentwise multiplication was used to generate 1000 production estimates for each taxon in each month from the 295 296 monthly biomass vectors and the same monthly temperature vectors from the *Bythotrephes* consumption 297 estimates. The three monthly taxon-specific production estimates from each bootstrap iteration were also 298 summed to produce 1000 estimates of total cladoceran production. These steps decoupled the prev 299 biomass and temperature observations from each station but did not decouple biomass observations of the 300 prey taxa at each station from each other. This was done to generate the largest range of potential production outcomes for each month and to ensure that temperature experienced was not a source of 301 302 variation between taxa for monthly production estimates.

303 Comparing consumptive demands of Bythotrephes to herbivorous prey production

304 To determine the relative vulnerability of each cladoceran species to suppression by Bythotrephes, the vector of consumption estimates for the Bythotrephes population was subtracted, 305 component by component, from the vectors of production estimates for Daphnia, Bosmina, and 306 307 Holopedium for each month where Bythotrephes were observed. Because of the wide range of 308 consumption and production estimates for different taxa and months, consumption and production 309 estimates were $\log(x + 1)$ transformed before subtracting the two vectors. The resulting vectors were used to produce box and whisker plots from which we could visualize the deficit or surplus production 310 rate for each species in each month. Taxa with median monthly production rates less than Bythotrephes 311 312 consumption were interpreted to be more vulnerable to top-down effects of Bythotrephes than taxa with 313 production estimates in excess of the consumptive needs of Bythotrephes. The log-transformed consumptive demands of the *Bythotrephes* population were also subtracted from the vector of log-314 315 transformed total cladoceran production estimates to compare *Bythotrephes* consumptive demands to total 316 epilimnetic cladoceran production.

317 **Results**

Pressure gauges deployed during net tows in 2015 indicated that nets reached average depths of 13.9 m (SE = 0.62) and 55.6 m (SE = 0.10) when 15 m and 60 m of cable were paid out, respectively. While net tows did not generally reach target depths, the ratios of depths reached in deep and shallow tows indicate that the deep net tows consistently reached depths four times those of shallow tows in each month sampled.

323 Cladocerans became common in August in 2014 but in 2015 they were already common in July 324 (Table 2). Daphnia was the most common cladoceran by density and biomass during both years sampled. 325 The largest average monthly densities of Bosmina and Holopedium were observed in 2014 while densities of Daphnia were greater in 2015 than in 2014 (Table 2). Bythotrephes densities varied widely by month 326 327 during 2014 and 2015 and were only detected during July-October (Table 2). Bythotrephes was observed in densities up to 267 individuals m⁻² but was usually much less abundant and average monthly 328 Bythotrephes densities never exceeded 23 individuals m⁻² (Table 2). Small densities of Leptodora were 329 detected in August of 2014 and July of 2015 but accounted for less than 0.1 percent of cladoceran 330

anumbers and biomass.

332 The structure of the offshore herbivorous cladoceran community in Lake Superior, which 333 includes primarily *Daphnia*, *Bosmina*, and *Holopedium*, appears to have shifted in the past four decades. 334 Most notably, the monthly contribution of *Bosmina* to total offshore herbivorous cladoceran numbers 335 appeared to be smaller during the 1970s than in observations after 1996 (Fig. 2). Bosmina contributed 50-90% of offshore cladoceran numbers in July and August during the 1970s but only accounted for 20-30% 336 337 during the summers of 2014 and 2015 (Fig. 2a, b). Bosmina was also a less important member of the cladoceran community in fall of 2014 and 2015 than it was during fall observations from the 1970s (Fig. 338 339 2c, d). Relatively speaking, *Daphnia* was a more important contributor to total offshore cladoceran 340 numbers in Lake Superior in 2014 and 2015 than it was in the past. It is unclear whether absolute densities of Daphnia have increased since the 1970s due to differences in reporting of densities in Watson 341 342 and Wilson (1978) and Conway et al. (1973). There were no obvious changes in the contribution of 343 Holopedium to cladoceran numbers in 2014 or 2015 compared to the 1970s.

344 Vertical distributions of Bythotrephes and cladoceran prey

The average areal density of *Bythotrephes* was higher in 2014 than in 2015 but the differences in *Bythotrephes* densities between 15 m and 60 m tows were not significantly different in either year (Table 3). Average areal densities of *Bosmina* and *Holopedium* were also not significantly different between 15 m and 60 m tows in either year (Table 3). *Daphnia* areal densities were significantly higher in 60 m tows Figure 2 here

Table 3

here

Table 2 here than in 15 m tows in 2014 (p = 0.04) but were not significantly different in 2015 (Table 3). Separating day and night samples before running t-tests produced similar results but the number of samples collected during the day were limited.

352 *Simultaneous densities of* Bythotrephes *and cladoceran prey*

Large densities of *Holopedium* often occurred when *Bythotrephes* were also abundant in both years sampled (Fig. 3). *Daphnia* was generally most common when *Bythotrephes* was absent or in low densities, however, large densities of *Bythotrephes* and *Daphnia* occasionally coincided in each year sampled (Fig. 3). High simultaneous densities of *Bythotrephes* and *Bosmina* were never observed in 2014 or 2015. The maximum *Bosmina* density occurred when *Bythotrephes* densities were about 5% of the *Bythotrephes* maximum (Fig. 3). Above 5% of the maximum *Bythotrephes* density, *Bosmina* densities never exceeded 10% of their maximum.

Figure 3 here

360 *Comparisons of* Bythotrephes *consumptive demands and epilimnetic prey production*

361 August of 2014 had the highest monthly *Bythotrephes* density with approximately 23 individuals 362 m^{-2} (Table 2). This density was strongly influenced by a station where *Bythotrephes* densities were in excess of 150 individuals m⁻². While this density was greater than the density observed at any other 363 364 stations in 2014 or 2015, it is not an unreasonable Bythotrephes density for Lake Superior (Brown and 365 Branstrator, 2004; Yurista et al., 2009; Isaac et al., 2012). Estimated median Bythotrephes consumption was well in excess of total cladoceran production during August of 2014 (Fig. 4). Even when the large 366 367 Bythotrephes density observed in August of 2014 was omitted from the model inputs, consumption by 368 Bythotrephes still overwhelmed the production rate of each prey taxon as well as total cladoceran 369 production although not as drastically.

Median *Bythotrephes* consumption did not exceed total cladoceran production in any month besides August of 2014. However, median *Bythotrephes* consumption in July of 2015 was greater than the median production rates of each of the three individual taxa due to relatively low densities of herbivorous cladocerans observed in this month (Table 2, Fig. 4). *Bythotrephes* consumption exceeded *Bosmina* and *Holopedium* production in every month where *Bythotrephes* was observed but did not exceed *Daphnia* production in September of 2015 or October of either year (Fig. 4).

Because of the limited dataset, there was a relatively large amount of variability in the
bootstrapped surplus and deficit production estimates for some months (Fig. 4). Median to mean ratios of
the surplus and deficit production estimates are summarized in Table 4. The median to mean ratio during

Figure 4 here

> Table 4 here

July of 2015 was negative because mean *Bythotrephes* consumption was slightly negative while median consumption was slightly positive. The median to mean ratios did not become closer to 1 when production and consumption estimates were repeated with 10,000 bootstrapping iterations. This indicates that 1000 iterations adequately resamples the available data but that the model outputs for some months are non-normally distributed.

384 To evaluate the effect of decoupling biomass and temperature observations at individual stations, we estimated production and consumption for each station and bootstrapped the resulting station-specific 385 estimates. Under this scenario, Bosmina production was still exceeded by Bythotrephes consumption in 386 387 every month and *Daphnia* production was not exceeded in the September or October observations. 388 However, assuming site dependence of biomass and temperature caused *Holopedium* production to be in 389 excess of Bythotrephes production in September and October of 2015. We also evaluated the effect of 390 using only the data from routinely sampled stations on model outputs by repeating the consumption and 391 production estimates using data from all of the stations visited in each month. We found that the 392 proportion of times that each prey taxon was overwhelmed by *Bythotrephes* consumptive requirements 393 was the same as when only data from routinely sampled stations were used.

394

395 Discussion

396 In the decades since the establishment of *Bythotrephes* in Lake Superior, the composition of the 397 offshore cladoceran community appears to have changed. Past studies suggest that Bosmina was often 398 equally or more numerically common in Lake Superior than Daphnia from spring through fall (Swain et 399 al., 1970; Patalas, 1972; Schelske and Roth, 1973; Conway et al., 1973; Selgeby 1975). Bosmina 400 contributed less to cladoceran numbers in 2014 and 2015 than it did during the 1970s which is consistent 401 with the findings of Brown and Branstrator (2004). Our results show that *Daphnia* has become a more 402 important contributor to the cladoceran community in the offshore regions of Lake Superior and suggest 403 that the importance of *Holopedium* has remained stable. Similar changes have occurred in the cladoceran 404 communities of the other Great Lakes and inland lakes following Bythotrephes invasion (Yan and 405 Pawson, 1997; Barbiero and Tuchman, 2004; Rudstam et al., 2015; Kerfoot et al., 2016). We analyzed 406 patterns in simultaneous densities of predator and putative prey to evaluate evidence for consumptive 407 effects of *Bythotrephes* on any of the cladoceran species. We also analyzed vertical distributions of 408 Bythotrephes, Bosmina, Daphnia, and Holopedium and compared the consumptive demands of Bythotrephes to the production rates of each herbivorous cladoceran species to determine which were 409 410 most vulnerable to top-down control.

411 Bosmina

412 Both *Bosmina* and *Bythotrephes* were caught primarily in the upper 15 m of water during both 2014 and 2015 (Table 3). This is consistent with the vertical distribution of Bythotrephes observed in 413 414 other lakes with planktivores like lake herring (Young and Yan, 2008). Past studies of the vertical 415 distributions of cladocerans in Lakes Michigan and Erie reported a downward shift in the average vertical position of Bosmina following Bythotrephes invasion (Pangle et al., 2007). In this way, Bythotrephes 416 417 might indirectly reduce Bosmina production by causing Bosmina to inhabit colder water (Pangle et al., 418 2007). However, Lakes Michigan and Erie have greater summer surface temperatures than Lake Superior 419 and have historically had deeper thermoclines (Reavie et al., 2017). These conditions allow cladocerans 420 in Lakes Michigan and Erie to migrate to greater depths before experiencing temperatures that cause 421 appreciable reductions in production.

422 Despite occupying similar depths, the simultaneous densities of *Bosmina* and *Bythotrephes* imply 423 a general lack of co-presence between these species. This pattern is consistent with recent observations in 424 Lake Ontario (Barbiero et al., 2014; Rudstam et al., 2015) and could be evidence for predation on 425 Bosmina by Bythotrephes. Finally, the consumptive demands of Bythotrephes in this study always 426 exceeded Bosmina production when Bythotrephes was present. This was the case regardless of whether 427 we used data from all stations or from only the routine stations and regardless of whether or not we 428 assumed site-dependence of temperature and biomass data. These results demonstrate that it takes very 429 few Bythotrephes eating Bosmina to overwhelm average Bosmina production. In addition to being a 430 preferred prey species for *Bythotrephes*, new evidence suggests that *Bosmina* may lack avoidance 431 responses to Bythotrephes (Kerfoot et al., 2016). Therefore, it is possible that Bosmina remains an easy 432 prey item for *Bythotrephes* in the offshore regions of Lake Superior despite being present at relatively low 433 densities.

434 Daphnia

435 In 2014, larger Daphnia densities were observed in 60 m tows than 15m tows, suggesting that 436 overlap between *Bythotrephes* and *Daphnia* may have been reduced. However, this difference was 437 relatively small and the difference in *Daphnia* densities between deep and shallow tows was not 438 significantly different in 2015. Daphnia has remained common in Lake Superior, as it has in several 439 other lakes, since the establishment of Bythotrephes (Lehman, 1991; Azan et al., 2015). Like Bosmina, 440 the average vertical position of Daphnia in Lake Michigan shifted downward after Bythotrephes invasion 441 (Lehman and Cáceres, 1993; Pangle et al., 2007). This change in habitat use has been used to explain the persistence of *Daphnia mendotae* in Lake Michigan since the establishment of *Bythotrephes* despite 442

reduced biomasses of other species of *Daphnia*. While occupying deeper depths may have allowed *Daphnia* to avoid predation, it has also resulted in reduced *Daphnia* production in Lake Michigan due to
the lower water temperatures at greater depth. In Lake Superior, where surface temperatures are lower
and the thermocline is generally shallower, the benefits of migrating to deeper waters may not outweigh
the costs, especially at the relatively low *Bythotrephes* densities observed in 2014 and 2015.

Regardless of whether or not Daphnia actively reduce their vertical overlap with Bythotrephes in 448 449 Lake Superior, monthly epilimnetic production rates of *Daphnia* exceeded the consumptive demands of 450 Bythotrephes in three of the five months where Bythotrephes was observed. Similar trends were observed 451 when we assumed site dependence of temperature and biomass data and when we used data from 452 infrequently sampled locations. Daphnia also co-occurred with Bythotrephes more often than did 453 *Bosmina* which is consistent with lower relative consumption rates of *Daphnia* by *Bythotrephes*. These 454 findings suggest that *Daphnia* in Lake Superior is less susceptible to being overwhelmed by *Bythotrephes* 455 and may explain why Daphnia has remained common in Lake Superior since the establishment of 456 Bythotrephes.

457 Holopedium

458 Most *Holopedium* biomass occurred in the upper 15 m of water, implying vertical overlap with Bythotrephes. In addition, the monthly consumptive demands of Bythotrephes exceeded Holopedium 459 460 production when *Bythotrephes* was present under most modeling scenarios. While these findings suggest 461 that *Holopedium* could be suppressed by *Bythotrephes* in Lake Superior, *Holopedium* and *Bythotrephes*, like Daphnia and Bythotrephes, commonly occurred together. Holopedium, which has not become less 462 463 common in Lake Superior since the arrival of *Bythotrephes*, may be less vulnerable to predation by 464 Bythotrephes because of its gelatinous coating and have occasionally become more common in other lakes after Bythotrephes invasion (Yan and Pawson, 1997; Barbiero et al., 2014; Kerfoot et al., 2016). 465 The relative frequency of vertical and spatial overlap between these species in the present study suggests 466 467 that *Holopedium* is not a preferred prey source for *Bythotrephes* in Lake Superior. Taken together, these 468 results support the hypothesis that *Holopedium* is less susceptible to predation by *Bythotrephes* and help 469 to explain why *Holopedium* does not appear to have become less common since *Bythotrephes* 470 establishment.

471 *The role of* Bythotrephes *in cladoceran community change*

472 Of the common herbivorous cladocerans in Lake Superior, *Bosmina* was the only species that met
473 all three of the criteria used in this study to evaluate the possibility of suppression by *Bythotrephes*.
474 Because *Bosmina* is also the only herbivorous cladoceran species that appears to have become less

475 common in the offshore regions of Lake Superior since the establishment of *Bythotrephes*, these findings
476 support the hypothesis that *Bosmina* has become a less important member of the cladoceran community in
477 Lake Superior as a result of top-down control by *Bythotrephes*.

478 It is unlikely that increasing surface water temperatures or vertebrate planktivory were 479 responsible for the decline in this formerly abundant species. Herbivorous cladocerans are less abundant 480 in Lake Superior than in the other Great Lakes and this is partially due to the low surface water 481 temperatures in Lake Superior (Patalas, 1972; Lehman, 2002). Therefore, increasing temperatures in Lake Superior should not lead to a reduction in *Bosmina* abundance. Populations of planktivorous fish 482 483 like lake herring have increased in Lake Superior since the 1970s, but Bosmina is not effectively retained 484 in the gill rakers of mature lake herring and *Bosmina* is not observed in the diet of this or other common pelagic planktivorous fish (e.g., rainbow smelt, Coregonus spp.) in Lake Superior (Link and Hoff, 1998; 485 486 Isaac et al., 2012; Gamble et al., 2011). Juvenile fish may feed on *Bosmina*, but year classes of smelt and 487 the various coregonines have been irregular in Lake Superior in recent decades (Bronte et al., 2003; 488 Johnson et al., 2004; Gorman, 2012; Pratt et al., 2016) while the reduction in *Bosmina* abundance appears 489 to be persistent.

490 It is important to note that the cladoceran community observations made in 2014 and 2015 differ 491 from other recent zooplankton surveys in Lake Superior. For one, densities of Bythotrephes observed in 492 the present study were considerably lower than those reported in other Lake Superior zooplankton surveys 493 conducted since 2001 (Brown and Branstrator, 2004; Yurista et al., 2009; Isaac et al., 2012). It is unclear 494 why the *Bythotrephes* densities observed in 2014-2015 were so much lower than in previous summers. 495 Past work shows that peak Bythotrephes densities in an inland reservoir are short-lived (Brown et al., 496 2012) and it is therefore possible that the true peaks in *Bythotrephes* density did not coincide with 497 sampling events. We were unable to collect zooplankton samples in September of 2014 and August of 2015 which is when *Bythotrephes* densities have peaked in Lake Superior in other years (Isaac et al., 498 499 2012) and because of this the estimates of *Bythotrephes* consumptive demands in this study are likely to 500 be conservative.

Another difference between our observations and other recent surveys has to do with *Holopedium*. Brown and Branstrator (2004) reported a possible increase in *Holopedium* abundance between the 1970s and 2001. The densities of *Holopedium* we observed in 2014-2015 were considerably lower than those reported in Brown and Branstrator (2004). However, Yurista et al. (2009) and Pratt et al. (2016) also report *Holopedium* densities in 2006 and 2011 that were much higher than we observed. Therefore, while the present study does not indicate *Holopedium* is more common than it used to be, there is good evidence that *Holopedium* is often more abundant in Lake Superior than before the establishment of *Bythotrephes*. Because *Holopedium* is not thought to be a preferred prey species for *Bythotrephes*, the
higher *Holopedium* densities reported in other recent studies may be evidence of a species replacement
resulting from reduced competition with *Bosmina*.

511 *Future food web implications of* Bythotrephes

512 These findings suggest that Bythotrephes has had a measurable impact on the offshore cladoceran community in Lake Superior. Seasonally, cladocerans are important sources of prey for some species of 513 514 planktivorous fish in Lake Superior (Gamble et al., 2011; Isaac et al., 2012). While Bosmina is not an 515 important food source for planktivorous fish in Lake Superior, Bythotrephes necessarily consumes other 516 species and may therefore reduce the amount of cladoceran biomass available for such planktivores, 517 especially those that are unable to eat *Bythotrephes*. Furthermore, though the densities of *Bythotrephes* observed in this study were generally not high enough to overwhelm total cladoceran production, the 518 519 densities needed to do so are within the range of *Bythotrephes* densities observed in Lake Superior in the 520 past.

521 This study does not address the relationship between *Bythotrephes* and copepods in Lake 522 Superior. While Bythotrephes is known to occasionally consume small copepods, cladocerans are their 523 preferred prey (Schulz and Yurista, 1999; Dumitru et al., 2001). Because the standing stock of copepod 524 biomass in Lake Superior greatly exceeds cladoceran biomass (Yurista et al., 2009; Barbiero et al., 2012), 525 Bythotrephes is unlikely to influence the copepod community structure or biomass in Lake Superior at the densities observed in this study. In addition, zooplankton biomass has been relatively stable in Lake 526 527 Superior in recent decades and the dominant copepod species in Lake Superior have not changed since the 528 1970s (Barbiero et al., 2001; Barbiero et al., 2012).

529 The consumptive demands of *Bythotrephes* relative to prev production in Lake Superior are likely 530 to change with further climate warming because temperature is one of the factors that influences both 531 zooplankton production and *Bythotrephes* consumption. We explored the consequences of further 532 warming on predator consumption and prey production by projecting the production and consumption estimates made in this study over a higher range of temperatures. When both predator and prey biomass 533 534 were held constant, prey production increases faster than Bythotrephes consumption. However, 535 predicting the effects of continued warming in Lake Superior on this predator-prev interaction is difficult 536 because the response of *Bythotrephes* and their prev species to further warming may not be equivalent. 537 For example, past studies indicate that the optimal temperatures for *Bythotrephes* growth and reproduction are in the range of 18-22°C (Kim and Yan, 2010; Yurista et al., 2010), which are 538 539 temperatures not consistently met in the offshore regions of Lake Superior (Austin and Colman, 2007).

540 This suggests that low surface temperatures might be one factor limiting *Bythotrephes* abundance in Lake 541 Superior. Warming water temperature might also favor herbivorous cladocerans over copepods in Lake 542 Superior as it has in other cold water systems (Carter and Schindler, 2012). However, herbivorous 543 cladoceran abundance in Lake Superior is probably also limited by food quality and quantity (Patalas 544 1973) and the effects of continued warming on primary production in Lake Superior are difficult to predict (Reavie et al., 2017). As such, the future top-down effects of Bythotrephes on Lake Superior 545 546 zooplankton will depend on the extent of warming, changes in primary production, and the many possible 547 responses of *Bythotrephes* and its potential prey species to warming.

548 Factors other than temperature also influence Bythotrephes abundance in Lake Superior and increase the uncertainty regarding the future effects of *Bythotrephes* on the Lake Superior food web. 549 550 Though increased surface temperatures could result in higher abundances of Bythotrephes in Lake 551 Superior, Bythotrephes is also heavily consumed by planktivorous fish like lake herring (Isaac et al., 552 2012; Keeler et al., 2015). Keeler et al. (2015) showed that Bythotrephes production can be overwhelmed 553 by vertebrate planktivory in the offshore regions of the Apostle Islands. If this occurs throughout the 554 lake, a small positive interaction between increasing surface water temperatures and Bythotrephes density 555 may be masked by vertebrate planktivory. Because the consumptive demands of the *Bythotrephes* 556 population are highly dependent on *Bythotrephes* density, future populations of the fish that consume 557 Bythotrephes will also influence the effects of Bythotrephes on the Lake Superior zooplankton 558 community in the coming decades.

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731 Figure captions:





Fig. 1. Zooplankton sampling locations in 2014 and 2015. Routine sampling stations are those that were sampled during each month with the exception of station 7, which was not sampled in July or October of 2014. Infrequently sampled locations are those that were sampled on one or two occasions. Zooplankton samples were collected at station 6 once in 2015 and additional archived samples from 1996 were available for this station. Depths and coordinates for all sites are summarized in Appendix A.



Fig 2. Comparisons of the relative contribution of *Bosmina*, *Daphnia*, and *Holopedium* to total offshore
herbivorous cladoceran densities in Lake Superior during a) July; b) August; c) September; and d)
October from 1971-2015. Observations 1996a and 1996b in panel b refer to different surveys (see Table
1). All 2014 and 2015 observations were from the present study and sources for previous observations
are listed in Table 1.



Percent of maximum Bythotrephes density

Fig. 3. Simultaneous densities of *Bythotrephes* with *Bosmina*, *Daphnia*, and *Holopedium* in 15 m net tows (left panels) and 60 m net tows (right panels) during 2014 and 2015. Densities for each species are relative to the maximum observed density for the species. The dashed horizontal and vertical lines at y =10% and x = 5% provide a reference window to facilitate species-to-species comparisons of coexistence with *Bythotrephes*.



Fig. 4. Box and whisker plot of log-transformed surplus and deficit production rates (µg m⁻² day⁻¹) for
total Cladocera, *Bosmina*, *Daphnia*, and *Holopedium*. Median production values are indicated by the
horizontal black lines within boxes. The lower and upper limits of the boxes represent the 25th and 75th
percentiles, respectively. Whiskers represent 1.5 times the interquartile range below and above the 25th
and 75th percentiles and points represent production estimates above or below the range covered by
whiskers. Taxa with negative median production rates are those that would be overwhelmed by *Bythotrephes* if *Bythotrephes* fed exclusively on that taxon.

Table 1. Sources of monthly cladoceran community data for long-term comparisons. The "Data used"

field describes how the data were subsetted to ensure compatibility with data from the present study. The
letters a and b appended to the two August 1996 surveys differentiate columns in Fig. 2b.

Source	Months available	Data used
Conway et al., 1973	Aug-Sept 1970, July-Oct 1971	Larsmont and Stony Point stations at 2 miles from shore (155 m and 110 m deep respectively)
Watson and Wilson 1978	Aug-Sept 1973	Lake Regions 5 and 6 (Table 3 and Fig. 5 in original source)
Sterner (archived samples)	July 1996, Aug 1996a	Archived samples from R. Sterner. Collected at station 6 (Fig. 1) using 80 µm 0.5-meter diameter conical zooplankton net towed from bottom to surface. Samples processed Sept 2015.
Johnson et al., 2004	July 1997, Aug 1996b	"Open lake" region (See Fig. 2 and Table 2 in original source)
Barbiero et al., 2001	Aug-Sept 1998	All zooplankton collection done at stations of depth >90 m. Data used as is.
Brown and Branstrator 2004	Aug 2001	Stations deeper than 60 m (see Fig. 1 and Table 1 in original source).

	Table 1 in original source).
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820 Table 2. Average monthly density as individuals m^{-2} (standard error in parentheses) for common offshore

cladocerans in Lake Superior during 2014-2015 in 60 m net tows. Averages and standard errors were
estimated by bootstrapping density observations from each month. The number of stations visited during

each month is indicated by n.

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	Bythotrephes density (+/-SEM)	Bosmina density (+/-SEM)	Daphnia density (+/-SEM)	Holopedium density (+/-SEM)	n
June 2014	0	0	44.0 (2.35)	0	4
July 2014	0	0	0	0	3
Aug 2014	23.0 (1.1)	1388.8 (99.54)	3393.5 (137.71)	155.9 (12.77)	10
Oct 2014	3.6 (0.1)	6.3 (0.38)	4280.7 (85.43)	330.9 (25.43)	13
May 2015	0	0	0.5 (0.03)	0	5
July 2015	2.6 (0.07)	217.4 (9.75)	500.2 (13.49)	73.2 (2.36)	4
Sept 2015	0.4 (0.32)	14.2 (0.75)	9083.8 (124.47)	210.2 (10.65)	4
Oct 2015	1.3 (0.05)	225.0 (13.96)	16214.9 (492.0)	292.3 (19.83)	7

Table 3. Average areal densities of *Bythotrephes*, *Bosmina*, *Daphnia*, and *Holopedium* in 15 m and 60 m net tows. The 2014 comparisons were based on 30 paired observations and the 2015 comparisons were based on 20 paired observations. Differences in densities were identified with a paired, one-tailed *t*-test $(\alpha = 0.05)$.

849			2014		_		2015	
850		# m ⁻² (15 m)	# m ⁻² (60 m)	<i>p</i> -value		# m ⁻² (15 m)	# m ⁻² (60 m)	<i>p</i> -value
851	Bythotrephes	11.6	9.2	0.22		1.3	2.4	0.13
852	Bosmina	457.4	484.5	0.19		67.6	114.7	0.12
002	Daphnia	2227.8	3142.6	0.04		6312.3	6709.6	0.25
853	Holopedium	106.3	87.1	0.12		189.2	127.2	0.12
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Table 4. Monthly median to mean ratios for the surplus and deficit production estimates for total

874 Cladocera, *Bosmina*, *Daphnia*, and *Holopedium* (see Fig. 4). Medians and means were calculated from

the 1000 bootstrapped estimates of log-transformed surplus and deficit production for each month where*Bythotrephes* were observed.

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378		Total Cladocera	Bosmina	Daphnia	Holopedium
279	August 2014	1.04	1.21	1.13	1.28
	October 2014	0.57	1.04	0.54	0.39
80	July 2015	-0.49	1.38	0.27	1.02
31	September 2015	0.88	1.34	0.88	0.00
2	October 2015	0.86	1.15	0.85	1.53

Site	Latitude	Longitude	Site depth
number	(degrees North)	(degrees West)	(meters)
1	46.8614	-91.7815	77
2	46.9666	-91.6200	208
3	47.0281	-91.5354	231
4	47.0753	-91.5330	102
5	46.9271	-91.4753	137
6	47.0650	-91.4317	255
7	47.3313	-91.1925	87
8	47.2129	-91.1810	276
9	47.4505	-90.8979	241
10	47.3868	-90.8633	200
11	47.4110	-90.6049	170
12	47.3155	-90.5476	77
13	47.7129	-90.1720	157
14	47.3911	-90.1089	140
15	47.3088	-89.8514	167

903 Appendix A. Location and depth of sampling stations shown in Fig. 1.