

1 **FATHEAD MINNOW AND BLUEGILL SUNFISH LIFE-STAGE RESPONSES TO 17 β -**
2 **ESTRADIOL EXPOSURE IN OUTDOOR MESOCOSMS**

3 Sarah M. Elliott, Richard L. Kiesling, Zachary G. Jorgenson, Daniel C. Rearick, Heiko L.
4 Schoenfuss, Kim T. Fredricks, and Mark P. Gaikowski

6 **ABSTRACT**

7 Developmental and reproductive effects of 17 β -estradiol (E2) exposure on two generations of
8 fathead minnows and one generation of bluegill sunfish were assessed. Fish were exposed to E2
9 for 6 continuous weeks in outdoor mesocosms simulating natural lake environments. First
10 generation fish were exposed while sexually mature. Second generation fathead minnows were
11 exposed either during early development, sexual maturity, or both stages. Multiple endpoints
12 were measured to assess effects of E2 exposure on fecundity and fish health and development.
13 Plasma vitellogenin concentrations were highly variable in all fish. Differences in egg
14 production timing for both species indicate differences in fecundity between females exposed to
15 E2 and controls. First generation fathead minnows exposed to E2 had lower body condition
16 factors and reduced secondary sexual characteristic expression by males. Only a difference in
17 relative liver weight was observed in second-generation fathead minnows. First generation
18 bluegill males exposed to E2 had significantly smaller testes compared to controls. Although
19 fish response was highly variable, results indicate that exposure to E2 at environmentally
20 relevant concentrations affect fathead minnow and bluegill sunfish health and development,

Respectively, Hydrologist (Elliott and Kiesling), U.S. Geological Survey, 2280 Woodale Drive, Mounds View, MN 55112; Graduate Student (Jorgenson), St. Cloud State University, St. Cloud, MN 56301 at the time this paper was prepared, now Contaminants Biologist, U.S. Fish and Wildlife Service; Graduate Student (Rearick) and Professor (Schoenfuss), Department of Biological Sciences, St. Cloud state University, St. Cloud, MN 56301; Professor (Fredricks), Biology Department, Viterbo University, La Crosse, WI 54601; Biological Technician (Fredricks) and Supervisory Biologist (Gaikowski), U.S. Geological Survey, La Crosse, WI 54601. (E-Mail/Elliott: selliott@usgs.gov)

21 which may have implications for the health and sustainability of fish populations. Furthermore,
22 exposure timing and environmental factors affect fish response to E2 exposure.

23

24 KEY TERMS: endocrine disruption; mesocosm; multi-generational exposures; estrogen; fish;
25 lakes

26

INTRODUCTION

27 The presence of endocrine active compounds (EACs) in aquatic environments and the
28 consequential effects on fish have been well-documented (Jobling *et al.*, 1998; Kolpin *et al.*,
29 2002; Lee *et al.*, 2008, 2010; Hinck *et al.*, 2009; Writer *et al.*, 2010). Specifically, estrogenic
30 hormones can demasculinize male fish (Vajda *et al.*, 2008; Sowers *et al.*, 2009). Commonly
31 detected estrogens include 17 β -estradiol (E2) (biogenic), estrone (E1) (degradation product of
32 E2), and 17 α -ethinylestradiol (EE2) (synthetic estrogen and the active ingredient in many birth
33 control pharmaceuticals). Estrogen sources vary but may include livestock operations,
34 wastewater effluent, and wildlife (vertebrate) excreta (Lee *et al.*, 2011; Wise *et al.*, 2011). Little
35 is understood about the mechanisms and environmental factors affecting fish response to
36 exogenous estrogenic compound exposure beyond the organismal level. Furthermore, whether
37 cumulative, generational effects threaten population sustainability is unclear.

38

39 Responses in fish after exposure to estrogenic compounds have been well documented for
40 several model species including fathead minnow (*Pimephales promelas*), Japanese medaka
41 (*Oryzias latipes*), and zebrafish (*Danio rerio*) (Jukosky *et al.*, 2008; Vajda *et al.*, 2008; Coe *et*
42 *al.*, 2010; Lange *et al.*, 2011). Observed responses in males include increased plasma
43 vitellogenin (VTG) (egg yolk pre-cursor protein) concentrations (Folmar *et al.*, 2000; Panter *et*

44 *al.*, 2000; Jukosky *et al.*, 2008), decreased prominence of secondary sexual characteristics
45 (Parrot and Blunt, 2005; Sowers *et al.*, 2009), and decreased nest holding ability (Hyndman *et*
46 *al.*, 2010). However, results of short, continuous exposures do not easily translate to natural
47 environments where wild fish often are exposed to lower concentrations for longer periods of
48 time or exposed intermittently. Additionally, information is lacking for other important
49 freshwater fish species (e.g. bluegill sunfish, walleye, bass, etc.) that often have strong economic
50 and recreational value for local communities.

51

52 Limited research suggests that EACs may have important implications for wild fish populations.
53 A fathead minnow population crashed in response to longer term (three consecutive summers)
54 exposure to low levels of EE2 (Kidd *et al.*, 2007). Evidence of the failing population became
55 apparent only 1 year after addition of the hormone. Similar results were observed in response to
56 life-long exposures of zebrafish at similar levels of EE2 used in Kidd *et al.* (2007) (Nash *et al.*,
57 2004). Although the mechanisms affecting wild fish at the population level are not well
58 understood, several studies indicate that reproductive disruption may be the main cause.
59 However, exactly how reproduction is affected is still unclear. Fish surveys conducted by Kidd *et*
60 *al.* (2007) revealed an aging fathead minnow population with minimal juvenile recruitment
61 beginning just 1 year after EE2 addition. Nash *et al.* (2004) identified reduced fecundity and
62 lack of fertilization success in the second generation as potential mechanisms for population
63 decline in zebrafish exposed to EE2. Consequences of population crashes in forage fish, such as
64 fathead minnows, extend beyond the loss of a particular species. Declines of higher trophic
65 species dependent on them for forage may also result (Palace *et al.*, 2009).

66 Some intersex fish were observed after long-term exposure to EE2 (Nash *et al.*, 2004; Kidd *et*
67 *al.*, 2007). Intersex fish (defined as the presence of ova-testes in males) have been identified
68 nationwide with a high prevalence in bass species (Hinck *et al.*, 2009). Fish determined to be
69 intersex have been correlated with decreased sperm motility and reproductive success in roach
70 (*Rutilus rutilus*) (Jobling, *et al.*, 2002). Intersex has even been observed in wild fish collected at
71 sites relatively unaffected by EACs (Jobling *et al.*, 1998; Lee *et al.*, 2010) indicating that intersex
72 naturally occurs indiscriminate of EACs or other known pollutants. However, if a relatively
73 higher than natural prevalence of intersex occurs in wild populations, the result may be
74 reproductive failure causing local extinction in extreme cases.

75

76 Large-scale population-level investigations encounter difficulties in assessing wild fish response
77 to EAC exposure. In a statewide survey of Minnesota lakes, endpoints evaluated as evidence of
78 endocrine disruption were highly variable and did not follow patterns of EAC detection,
79 composition, or concentration (Writer *et al.*, 2010). Fish exhibiting some of the greatest
80 responses were collected from lakes believed to be relatively unaffected by anthropogenic
81 influences. In addition, biogenic hormones (E2, estrone, androstenedione) were the most
82 frequently detected estrogenic compounds in water (Writer *et al.*, 2010). Unknown factors, such
83 as duration and timing of exposure, as well as comprehensive knowledge of bioavailable
84 compounds, contribute to the difficulty of making such assessments for wild fishes. For
85 example, wastewater effluent can induce VTG in males (Barber *et al.*, 2007) and reduce egg
86 production in females (Thorpe *et al.*, 2009); however, wastewater effluent also has been shown
87 to exhibit temporal variation (Martinović *et al.*, 2008). Hyndman *et al.* (2010) reported the
88 importance of exposure timing in relation to sampling for the detection of biomarker expressions

89 in fathead minnows when elevated VTG levels were observed in fish exposed to E2 for at least 7
90 days prior to analysis.

91

92 This study was conducted to evaluate multigenerational effects of E2, a biogenic estrogen, on
93 two ecologically and economically important fish species, fathead minnow (*Pimephales*
94 *promelas*) and bluegill sunfish (*Lepomis macrochirus*). Fish were exposed to environmentally
95 relevant concentrations of E2 for 6 continuous weeks during two sensitive life stages, the early
96 developmental stage and sexual maturity. The biogenic origin, prevalence in aquatic
97 environments, and well-understood mode-of-action at the organismal level made E2 a model
98 estrogen for fish exposure. Exposures were conducted in mesocosms that simulated natural lake
99 ecosystems with established plankton communities and trophic dynamics. Our main objectives
100 were to assess: (1) developmental effects of E2 exposure on juvenile fish and (2) reproductive
101 effects of E2 exposure on juvenile and adult fish.

102

103

METHODS

Experimental Design and Setup

104 Fathead minnow and bluegill sunfish were exposed to either a control or an E2 solution (30 ng/l,
105 nominal) for 6 weeks during two sensitive life stages. Bluegill response was only obtained for
106 the first generation because of a high larval mortality rate in the second generation. The target
107 concentration was selected to reflect environmental concentrations (Kolpin *et al.*, 2002).

108 Although exposure studies often use higher concentrations than those used in this study, those
109 concentrations mostly reflect extreme scenarios (e.g. direct wastewater effluent discharge,
110

111 agricultural runoff) and may not reflect exposure in environments that are not directly affected
112 by point sources.

113

114 Fish were randomly taken from a brood stock (parental generation – F0) reared at the U.S.
115 Geological Survey Upper Midwest Environmental Sciences Center (UMESC) in La Crosse, WI.
116 Juvenile (F1 generation) exposures began when fry were approximately 48 hours post-hatch.
117 Upon completion of the juvenile exposure, fry were transferred indoors and overwintered at
118 approximately 14°C until sexual maturity. The following spring, sexually mature F1 adults were
119 divided into four treatment groups for an additional exposure to E2: never exposed (0/0),
120 exposed only as juveniles (30/0), exposed only as adults (0/30), and exposed as both juveniles
121 and adults (30/30) (Figure 1).

122

123 The E2 mesocosm exposures were conducted at UMESC. Mesocosm tanks were 1.1 m³
124 containers (Rubbermaid Commercial Products, Winchester, VA). Replicate tanks were grouped
125 in blocks of three. Three blocks were placed into each of three 40.5-m² concrete enclosures
126 (Figure 2) that were flooded to buffer diurnal temperature cycling in the mesocosms. Treatments
127 were randomly assigned to mesocosms and enclosures using SAS (version 9.2) statistical
128 software to reduce the effects of environmental covariates. Source water obtained from a 617-m³
129 fish culture pond was continuously fed to headboxes and mixed with a control or E2 solution.
130 Each tank was equipped with a submersible pump and biofilter to provide continuous circulation
131 and promote denitrification. Fathead minnow tanks were stocked with 10 adult females, 10 adult
132 males, and 10 spawning tiles (sections of 10-cm polyvinyl chloride pipe cut in half) or
133 approximately 100 fry. Adult bluegill tanks were stocked with four females, two males, and two

134 spawning nests (shallow bowls containing artificial substrate). Daily maintenance of the
135 mesocosms included measuring flow rates of E2 and control solutions to headboxes, measuring
136 flow rates of mixed solutions from headboxes to mesocosms, clearing line blockages, checking
137 biofilter and air pump operation, and removing eggs for enumeration. Water temperature, pH,
138 and dissolved oxygen in each mesocosm were recorded daily. Adult fish were fed a commercial
139 fish feed (Silver Cup, Skretting USA, Tooele, UT) while F1 fish were fed a non-soy based diet
140 (Otohime Larval Feeds, Aquatic Eco-Systems Inc., Apopka, FL). Females and males were kept
141 separate before placement into mesocosms to prevent spawning prior to exposure. All fish were
142 presumed to be in similar stages of spawn upon initiation of E2 exposure.

143 *Chemical Preparation*

144 Chemical solutions were prepared by mixing 13.5 mg E2 (Sigma-Aldrich Co., St. Louis, MO) in
145 120 ml of reagent-grade ethanol (EMD Chemicals, Darmstadt, Germany) and storing the mixture
146 in a 2.0-ml charge at 4°C. Control solutions consisted of a 2.0-ml charge of reagent-grade
147 ethanol (EMD Chemicals). Approximately every 4 days, one E2 and one control 2.0-ml charge
148 were each diluted with 10 l of deionized water in a glass carboy. Control and E2 solutions were
149 then continuously pumped with a peristaltic pump to the appropriate headbox where solutions
150 were mixed with the source water. The control or E2 solution was then gravity-fed from the
151 headboxes to individual tanks with black Teflon® tubing, providing approximately 20% volume
152 exchange per day.

153 *Water Sampling and Analysis*

154 Aqueous E2 concentrations were monitored in individual mesocosms and the source pond twice
155 weekly during Year 1 (2010) and weekly during Year 2 (2011). Efforts to obtain samples
156 representative of the entire water column were made by collecting integrated grab samples.

157 Samples were stored at 0°C until E2 analyses were conducted. Unfiltered water samples were
158 analyzed for E2 concentration in duplicate using magnetic particle competitive Enzyme Linked
159 Immunosorbent Assay (ELISA) (Abraxis, Warminster, PA). The quantitation range of the
160 ELISA ranged from 2.5 to 25 ng/l. Samples were allowed to come to room temperature and then
161 analyzed according to the manufacturer's instructions. One laboratory blank and one replicate
162 were also analyzed with every assay.

163 *Biological Endpoints*

164 Multiple endpoints were measured to achieve a broad understanding of the effects of exposure
165 across multiple biological levels of organization. Biological analyses were conducted at the St.
166 Cloud State University Aquatic Toxicology Laboratory in St. Cloud, MN. Adult fish were
167 anesthetized with 200 mg/l MS222 at the end of each 6-week exposure. Weight (g) and total
168 length (mm) of each fish were measured. All adult fish were assessed for biological endpoints,
169 with the exception of the females from the F1 fathead minnows. The egg yolk pre-cursor protein
170 VTG was measured in fish plasma as an indicator of a physiological response in male fish to the
171 presence of E2. Histological analyses of livers (the primary detoxifying organ) and reproductive
172 organs of male and female fish were conducted to examine anatomical changes that may be
173 related to E2 exposure or may explain changes in reproductive fitness.

174
175 Several indices were calculated for each fish, including body condition factor (BCF) [(total mass,
176 g)/(total length, mm)³ x 100,000] to gauge the relative metabolic health of fish (Fulton 1904),
177 gonadosomatic index (GSI) [(testes mass, g/fish mass, g) x 100] and hepatosomatic index (HSI)
178 [(liver mass, g/ fish mass, g) x 100] (Shappell *et al.*, 2010). The Secondary Sexual
179 Characteristics Index (SSCI) was used to quantify the presence and prominence of secondary sex

180 characteristics in male fathead minnows. Secondary sex characteristics are regulated mostly by
181 androgen production and serve as an anatomical manifestation of male fish reproductive
182 maturity. The sum of individual scores (scale of 0 to 3; 0 representing absent characteristics and
183 3 representing prominently visible characteristics) for tubercle prominence, dorsal pad thickness,
184 and color was used to calculate a common score to compare across treatments (Shappell *et al.*,
185 2010).

186
187 Histological analyses were conducted following standardized procedures as outlined by U.S.
188 Environmental Protection Agency (2008). After fish were euthanized with an overdose of
189 anesthetic, gonad and liver tissues were excised, weighed, and placed in a tissue cassette. Tissue
190 cassettes were stored in 10% buffered formalin (50:1 volume/tissue ratio) until processing.
191 Tissues were dehydrated and paraffin embedded using a Leica automated tissue processor TP
192 1050 (Leica, Wetzlar, Germany) and Thermo Scientific Microm EC 350-1 embedding station
193 (Waltham, MA). Paraffin embedded tissues were sectioned at 5 μm tissue thickness using a
194 Reichert-Jung cassette microtome (Leica, Wetzlar, Germany). Tissue sections were stained with
195 a Leica Autostainer XL (Leica, Wetzlar, Germany) using a standard hematoxylin and eosin
196 staining protocol (Gabe, 1976; Carson, 1997), similar to methods used in other histopathological
197 studies (Kidd *et al.*, 2007; Vajda *et al.*, 2008; Barber *et al.*, 2011). Gonad tissues were
198 microscopically analyzed for sex and graded for development stage on a scale of 0 to 5
199 (undeveloped to post spawn). Liver tissues were graded for severity of hepatocyte vacuolization
200 on a scale of 0 to 4 (no vacuolization visible to >50% vacuolization).

201

202 Blood was drawn from the caudal vasculature, stored on ice in heparinized hematocrit vials, and
203 centrifuged (5,000 x g for 5 min) for plasma separation. Plasma samples were stored at -80°C
204 until analyzed for VTG using polyclonal ELISA techniques. An antibody-capture competitive
205 ELISA incorporating a species-specific anti-vitellogenin antibody and purified vitellogenin as
206 standard was used to measure plasma VTG (Shappell *et al.*, 2010). The ELISA for both species
207 was similar but used species-specific anti-fathead minnow/anti-sunfish VTG antibody and
208 purified fathead minnow/sunfish VTG. Microtiter plate wells were coated with 600 ng of
209 species-specific VTG in carbonate coating buffer (pH 9.6). A pre-competition step was
210 performed with the antibody (1:20,000 final dilution) and standard VTG, sample plasma or
211 control plasma in 1% albumin from bovine serum/phosphate buffer saline (BSA/PBS) (pH 7.5).
212 After incubation, this mixture was loaded into the wells and incubated at room temperature for 1
213 hour, followed by secondary antibody (anti-rabbit IgG-HRP, Sigma, St. Louis, MO) incubation
214 at a dilution of 1:10,000. The substrate tetramethylbenzidine (TMB) was added and incubated
215 for 16 minutes at room temperature and color development measured at 620 nm on a Thermo
216 (Waltham, MA) Multiscan plate reader. Standard curves were generated using the
217 accompanying software. The standard curve plots were generated using at least seven standard
218 concentrations ranging from 0.075 to 4.8 µg/ml. Coefficient of determination (r-squared) values
219 for standard curves were greater than 0.97, with most equal to 0.99. The minimum detection
220 limit using this standard curve was 3.75 µg/ml.

221

222 Female fecundity was tracked throughout the mesocosm exposures in both species as an
223 indicator of reproductive fitness with direct implications for population sustainability. Tiles or
224 nests with eggs were removed from the mesocosms daily and replaced with new spawning

225 substrate. Eggs were counted, resulting in total number of eggs produced per tank per day. An
226 average cumulative number of eggs per day was calculated for each tank and those daily
227 averages were compared on a weekly basis to compare rates of egg production among
228 treatments.

229 *Statistical Analysis*

230 All statistical analyses were completed using TIBCO Spotfire S+, version 8.1. Due to the lack of
231 normality, presence of outliers, and censored values in most of the datasets, non-parametric
232 Wilcoxon and Kruskal-Wallis Rank Sum tests were used to compare differences among
233 treatments. Multiple comparisons were conducted using a Bonferroni correction, when
234 appropriate.

235 RESULTS

236 *Environmental Conditions and Fish Survival*

237 Environmental conditions changed over the course of the summer and differed considerably
238 between the first and second years of study. These differences aided in reaching the
239 experimental goal of establishing mesocosms of different estrogenicity, similar to environmental
240 variation. Because wild fry will likely not experience identical environmental conditions as
241 parent fish, it was preferred that this study did not attempt to maintain the exact same
242 experimental conditions between years or exposures. Average monthly air temperatures during
243 May through September ranged from 16 to 24°C in 2010 and 14 to 26°C in 2011 (National
244 Weather Service, 2008. Accessed March, 2012.
245 <http://www.nws.noaa.gov/climate/index.php?wfo=arx>). Precipitation was 30.5 cm above normal
246 in 2010 and relatively normal in 2011. Environmental conditions within the mesocosms were

247 generally stable. Mean dissolved oxygen ranged from 8 to 10 mg/l. Mean water temperatures in
248 the mesocosms ranged from 21 to 24°C. Mean pH ranged from 8.7 to 9.6.

249

250 Adult survival within the mesocosms was >80 and 100% for fathead minnows and bluegills,
251 respectively. Larval bluegill survivorship was extremely low (not quantified). Overwinter
252 survival of F1 fathead minnow fry during 2010 resulted in few mortalities, with >95% of
253 juveniles surviving. Unfortunately, overwinter survival of bluegill fry was almost 0% and as a
254 result, F1 bluegill exposures were not feasible.

255 *Aqueous E2 Concentrations*

256 Analysis of source pond water indicated that E2 was present in the source water. Median
257 concentrations in the ponds were 3.55 and 3.79 ng/l in 2010 and 2011, respectively. These
258 observed concentrations are consistent with previous studies of reference lakes that contained
259 estrogenicity from unknown sources (Writer *et al.*, 2010) and demonstrate the ubiquitous
260 presence of estrogenic EACs in the environment.

261

262 Median E2 concentrations within the mesocosms for each exposure were two to three-fold higher
263 in the E2 treatment mesocosms than in the controls and approximately half the target
264 concentration of 30 ng/L (Table 1). Concentrations in the E2 treatments fluctuated throughout
265 the exposure periods as a result of the contribution of E2 from source water ponds, fluctuation of
266 environmental conditions, and biological growth within the mesocosms. Concentrations of E2 in
267 the control mesocosms were generally less than 10 ng/l. Despite E2 detections in the control
268 mesocosms, significant differences in E2 concentrations were observed between controls and E2

269 treatments ($p < 0.01$) for all experiments. Median E2 concentrations were typically higher in adult
270 treatments compared to fry, indicating that adult females may be an important source of E2.

271 *F0 Fathead Minnow Response*

272 BCF was significantly higher in control fish of both sexes ($p < 0.01$). On average, fish exposed to
273 E2 were slightly longer and weighed proportionally less compared to controls (differences not
274 significant). Males exposed to E2 had significantly higher HSI compared to controls (1.55 and
275 1.35, respectively; $p < 0.01$), indicating that their livers were more compromised. The SSCI was
276 significantly lower in E2 treated males compared to controls ($p < 0.01$) (Table 2). Males exposed
277 to E2 generally had thinner dorsal pads and lighter color banding. Tubercles were not visible in
278 approximately 80% of all males, regardless of treatment, indicating limited reproductive
279 opportunities for a subset of males in each mesocosm.

280

281 Livers from E2 treated males were slightly more compromised compared to controls. More E2
282 treated males had severe liver vacuolization compared to controls ($p < 0.05$). Proteinaceous fluid
283 (likely indicative of the presence of VTG) was observed in approximately 50% more males
284 exposed to E2 compared to controls. Greater than one-half of the ovary and testes samples were
285 in early sexual maturity stages in both treatments. Plasma VTG concentrations ranged from
286 below detection limit to 1,600 $\mu\text{g/ml}$. Males generally had lower concentrations compared to
287 females, although differences were not statistically significant. Control fish had significantly
288 higher plasma VTG concentrations compared to those exposed to E2 in both sexes ($p < 0.01$).

289

290 Daily average cumulative egg counts increased throughout the exposure period for F0 fathead
291 minnows (Figure 3a). The number of spawn events was variable and likely represents variation

292 among tanks and/or individuals. Females in control treatments ceased egg production after 3
293 weeks of exposure. It appears that E2 females continued producing eggs later into the
294 experiment compared to controls; however, the spawning events in the latter part of the
295 experiment were from one tank. Unfortunately, it is unknown if this is the result of one 'late
296 bloomer' in that tank or if all the females in that tank continued laying eggs. Although there
297 appear to be trends in egg production, no differences were significant in total egg production,
298 eggs per female, cumulative weekly egg counts, or number of spawn events between treatments.

299 *F1 Fathead Minnow*

300 Males exposed to E2 during both life stages had significantly lower HSI compared to those only
301 exposed as adults ($p < 0.01$). Furthermore, fish exposed as juveniles, regardless of adult exposure,
302 generally had lower HSI compared to fish not exposed during the juvenile life stage. No other
303 statistically significant differences were observed for the other endpoints assessed (Table 2).
304 Contrary to what was observed in the F0 generation, no statistically significant differences were
305 observed in SSCI among treatments (medians ranged 5-6).

306

307 No differences in testes developmental stage or liver health among treatments were significant.
308 Greater than 20% of the testes samples within all treatments were in later development stages.
309 Regardless of juvenile exposure, earlier developmental stages were observed in testes of males
310 exposed to E2 as adults. Generally, few fish had severe liver damage (<10%). Proteinaceous
311 fluid was observed in 15% of males in all treatments, except for those from the 30/30 treatment,
312 where proteinaceous fluid was observed in 20% of samples.

313

314 Plasma VTG concentrations in F1 fathead minnow males ranged from below detection limit to
315 greater than 4,000 $\mu\text{g/ml}$. The highest median VTG concentration was observed in fish exposed

316 only as juveniles. Similar to observations from the F0 generation, VTG concentrations were
317 generally lower in E2 treated fish compared to controls, although differences were not
318 statistically significant.

319
320 Daily average cumulative egg counts increased throughout the exposure for all treatments and no
321 differences were significant in total eggs, eggs per female, or number of spawn events among
322 treatments (Figure 3b). However, some differences were observed when average cumulative
323 eggs were compared by week. During weeks two and three, females exposed to E2 only as
324 juveniles produced significantly more eggs compared to those exposed during both life stages
325 ($p < 0.01$). Additionally, females exposed only as juveniles produced significantly more eggs
326 compared to those exposed only during the adult life stage during weeks three and five ($p < 0.01$).
327 Overall, females exposed as juveniles, regardless of adult treatment, produced more eggs
328 compared to those exposed as adults or never exposed.

329 *F0 Bluegills*

330 No significant differences were observed in BCF or HSI for either sex (Table 2). Males exposed
331 to E2 had significantly lower GSI compared to controls ($p < 0.05$), indicating relatively smaller
332 testes in relation to fish size. A similar response was observed in females, although not at a
333 significant level.

334
335 Histology results revealed no statistical difference in gonad developmental stage or liver health
336 between treatments for either sex. However, a slight shift in testicular developmental stage
337 between treatments was observed. Males exposed to E2 had the greatest percentage of testes in
338 early development stages. Slightly less than 10% of E2 exposed males and 15% of controls had

339 testes in later development stages. All development stages were present in females of both
340 treatments.

341
342 Plasma VTG concentrations were highly variable; similar to what was observed in both fathead
343 minnow generations. Concentrations ranged from below detection limit to greater than 6,000
344 $\mu\text{g/ml}$. Plasma VTG was generally higher in both E2 exposed males and females compared to
345 controls, although differences were not statistically significant. Plasma VTG was not elevated in
346 males compared to females for either treatment.

347
348 All female bluegills started producing eggs approximately 10 days into the exposure. Daily
349 average cumulative egg counts exhibited more of a step trend compared to fathead minnows
350 (Figure 3c), reflecting fewer mated pairs and nests per tank. Females exposed to E2 started
351 spawning 3 days after controls, and produced fewer numbers of eggs. During weeks four, five,
352 and six, female bluegills exposed to E2 produced significantly less eggs compared to control
353 females ($p < 0.01$).

354 DISCUSSION

355 Some statistically significant differences were observed in HSI and GSI between treatments in
356 both species. Similar results have been reported for sheepshead minnows (*Cyprinodon*
357 *variegatus*) exposed to E2 over multiple generations (Cripe *et al.*, 2009). Although few
358 statistically significant anatomical differences were observed in F1 fathead minnows in this
359 study, some general patterns emerged. For example, regardless of adult exposure, F1 fathead
360 males exposed to E2 as juveniles generally had higher BCF and lower HSI compared to those not
361 exposed to E2 as juveniles. Exposure to estrogenic compounds during juvenile stages affects

362 growth in fish to a greater degree compared to unexposed fish. For example, growth was
363 enhanced by E2 in rare minnows (*Gobiocypris rarus*) (Liao *et al.*, 2009) and decreased by EE2
364 in fathead minnows (Länge *et al.*, 2001) exposed as juveniles. Results from this study indicate
365 that exposure to estrogens during early developmental life stages also may suppress anatomical
366 development in male fathead minnows. As shorter and smaller males, they could be more
367 susceptible to predation or other stressors and be reproductively outcompeted by larger males.

368

369 Although not much is known regarding the effects of endocrine disruptors on bluegills, some
370 studies have shown diazinon (an organophosphorus pesticide believed to affect the reproductive
371 system) to reduce plasma E2 concentrations in females (Maxwell and Dutta, 2005) and reduce
372 fertility in both females and males (Dutta and Meijer, 2003). Results from our study indicate
373 gonadal development may be impeded by E2 exposure, resulting in smaller gonads, especially in
374 males that are not accustomed to metabolizing relatively greater concentrations of E2.

375 Additionally, because the endocrine system is being thrown off balance by excess amounts of
376 one hormone or compound, it may suppress production of other hormones (e.g. growth hormone)
377 in an effort to balance itself out.

378

379 Observed differences in SSCI in the fathead minnow F0 generation indicate that adult males
380 exposed to elevated E2 concentrations may be at a reproductive disadvantage compared to those
381 not exposed. Sowers *et al.* (2009) observed decreased expression of secondary sexual
382 characteristics in first generation males exposed to wastewater effluent; however second
383 generation males exposed to the same effluent exhibited increased expression of those
384 characteristics. A similar generational difference was not observed in this study. In fact, no clear

385 pattern was observed for expression of secondary sexual characteristics within the F1 generation.
386 Wastewater often is composed of chemicals of varying estrogenic potency, producing a more
387 estrogenic solution than E2. Additionally, mixtures of estrogenic compounds have been
388 observed to elicit greater responses in fathead minnows compared to exposure to the individual
389 estrogenic compounds in the mixture (Brian *et al.*, 2007). The differing estrogenic effects of
390 wastewater (or chemical mixtures) compared to one compound is one explanation for the varying
391 results found in the literature. That being said, the importance of learning how fish are affected
392 by exposure to single compounds (e.g. E2) is still important because not all exposure
393 environments in the wild are situated within the context of wastewater effluent and the
394 complicated mixtures it introduces into the environment. Often times in the natural environment
395 where no direct wastewater effluent is present, such as the littoral zone in lakes, the most
396 commonly detected hormones (and often endocrine disruptors) are the natural hormones such as
397 E2 and plant sterols (Writer *et al.*, 2010).

398

399 Plasma VTG concentrations were highly variable in both species and all treatments, highlighting
400 the natural variability of this biomarker among individuals. Jobling *et al.* (1998) and Nichols *et*
401 *al.* (1999) also reported higher VTG concentrations in control fish compared to those exposed to
402 EACs, similar to what was observed in both fathead minnow generations in this study.
403 Additionally, large ranges of VTG concentrations have been observed in wild-caught fish,
404 sometimes spanning two orders of magnitude, in both females and males (Lee *et al.*, 2010;
405 Writer *et al.* 2010). These results highlight the need to interpret VTG data cautiously and use the
406 information in conjunction with other endpoints. Increased VTG concentration is often reported
407 in response to EAC exposure. However, increased VTG typically corresponds to fish exposed to

408 EACs at higher concentrations than observed in this study, more potent compounds, or mixtures
409 of compounds, such as those found in wastewater effluent (Parrot and Blunt, 2005; Vajda *et al.*,
410 2008; Thorpe *et al.*, 2009). As highlighted in Mills and Chichester (2005), fish responses
411 observed in the laboratory often do not transfer directly to those observed in the environment.

412

413 Little documentation exists on the effects of EAC exposure on bluegills and results from this
414 study indicate that exposure to environmentally relevant concentrations of E2 alone does not
415 consistently induce VTG production in males. As a result of the presence of E2 in control
416 treatments the desired difference of absolutely no exposure in controls was not achieved.
417 However, these same gradients may be present in natural lake settings where fish that are
418 frequenting deeper waters of the lake may be slightly exposed to E2 from sources such as other
419 vertebrates. The results presented here indicate that in similar scenarios where it might be
420 expected that the littoral zone presents a relatively increased exposure over other micro-habitats
421 in a lake, there is not enough of a difference in exposure to elicit significant increases in VTG
422 concentration in males.

423

424 The results of this study also indicate that measuring plasma VTG concentration at the end of the
425 experiment was not useful for characterizing differences in fecundity. Plasma VTG in female
426 bluegill sunfish is known to vary as a function of serum E2 concentrations in controlled settings
427 (Cheek *et al.*, 2004) and has been shown to exhibit complex dynamics over the spawning cycle
428 in wild long-ear sunfish populations (Fentress *et al.*, 2006). Plasma VTG concentrations
429 measured at the end of our experiment are characteristic of over-wintering fish (Cheek *et al.*,
430 2004), indicating that the bluegills in the mesocosms may have reached the end of their summer

431 reproductive period. Although measuring plasma VTG throughout the spawning period may
432 have better facilitated comparisons between control and treatment groups, the effects that may
433 have occurred as a result of stress from additional handling may have presented confounding
434 factors

435

436 Short-term effects of E2 exposure on spawning and egg production were observed throughout
437 the E2 exposures. Relative to controls, a small delay in bluegill spawning and a lower initial rate
438 of egg production in E2 exposed females of both species was observed. Elevated E2
439 concentrations may delay spawning through interaction with other growth processes as seen in
440 the BCF index for F0 fathead minnows or through changes to behavioral cues associated with
441 spawning (not assessed in this study). One consequence of delayed spawning in the wild could
442 be lower survivability of young-of-the-year fish. Juveniles spawned later in the growing season
443 will be smaller than their earlier hatched counterparts resulting in greater susceptibility to
444 predation or other environmental stressors (Divino and Tonn, 2007) and reduced ability to
445 compete for resources (Kaemingk *et al.*, 2012).

446

447 Juvenile exposure to E2 may affect development to a greater extent compared to adult exposure.
448 This may have important consequences because many juvenile fish inhabit the littoral area of
449 lakes where we might expect concentrations of E2 and other contaminants to be higher compared
450 to the pelagic zone. Both F1 fathead minnow treatments that included juvenile exposure
451 produced higher numbers of eggs compared to control and adult-only exposures. Previous
452 studies have observed greater egg production in F0 fish compared to subsequent generations.
453 Increased egg production is often a response to exposure of more potent estrogens or exposure at

454 a much higher concentration than used in this study (Cripe *et al.*, 2009). However, exposure to
455 estrogenic EACs does not always elicit changes in fecundity (Brian *et al.*, 2007; Cripe *et al.*,
456 2009; Coe *et al.*, 2010). Variability in egg production among individuals presents a confounding
457 factor for determining whether observed differences are a result of natural variability or exposure
458 to EACs. This study attempted to minimize the natural variability in egg production by having
459 more than one female per mesocosm; however, quite a bit of variability was still observed.
460 Embryo viability or fertilization success may provide better evidence of how fecundity is
461 affected by E2 and other EACs (Parrott and Blunt, 2005; Coe *et al.*, 2010). Additionally,
462 exposure timing may explain some of the conflicting results in the literature. For example,
463 exposure during different phases of spawn may affect fecundity differently. When females are in
464 the beginning of the spawn cycle and plasma E2 levels are high, exogenous E2 sources may not
465 have a significant effect on egg production. However, at the end of the spawn cycle when
466 plasma E2 levels are reduced, exogenous E2 sources may ramp VTG production back up and egg
467 provisioning continues to occur (Giesy *et al.*, 2000). While an attempt was made to keep all fish
468 in a pre-spawn state prior to exposure, the possibility of individual variability was still very
469 likely due to natural variability.

470

471 While some differences were observed between the responses of the two fish species exposed in
472 this study, some similarities in fish response to environmentally relevant concentrations of E2
473 were observed between the two species. Exposure to E2 by itself did not produce exaggerated
474 responses that would indicate populations would be negatively affected by reproductive failure;
475 however, application of a population model to the fecundity data obtained from this study may
476 provide more clarity with regards to the long-term health of the population. Although responses

477 to low levels of E2 were not dramatic in either species in this study, exposure to similar
478 concentrations of E2 in combination with other compounds may exhibit a cumulative effect on
479 development and reproduction (Thorpe *et al.*, 2001; Brian *et al.*, 2007). Additionally, fate and
480 transport of E2 may have been a confounding factor in this study. Fate and transport within the
481 mesocosms was not assessed, so it is not entirely known how well mixed the mesocosms were
482 and if the fish actually received a continuous dose of E2 throughout the entire exposure period.
483 In addition, large amounts of algal biomass accumulated in many of the tanks. Uptake of E2 by
484 algae may have effectively lowered the dose that fish were exposed to by making less E2
485 bioavailable to the fish. Measuring transport and bioavailability of E2 within the mesocosms
486 may have aided in interpretation of the biological data obtained from this study. However, these
487 factors are often unknown or difficult to quantify in the environment when field studies are
488 conducted. Determining wild fish response to exposure of EACs is equally as complicated.
489 Results from this study indicate that exposure to relatively low concentrations of E2 may elicit
490 responses in fathead minnow and bluegill sunfish. Although responses may be muted compared
491 to those observed during controlled laboratory exposures, the observed responses more closely
492 mimic the varied responses observed in the environment and may have important implications
493 for the future sustainability of fish populations.

494 CONCLUSIONS

495 Effects of exposure to E2 were measured in fathead minnows and bluegills using a mesocosm
496 approach to simulate a lake environment complete with trophic dynamics. Fish were exposed
497 during two sensitive life stages to evaluate fish response and effects on population sustainability.
498 Concentrations of E2 fluctuated greatly in the mesocosms; likely a result of varying rates of
499 biological uptake by planktonic organisms and fish. Although concentrations in the tanks often

500 were less than the target concentration, fluctuating E2 concentrations may have more closely
501 simulated a natural lake environment because fish are mobile in the wild and most likely
502 intermittently exposed to estrogens and other endocrine active compounds.

503

504 Given the observed E2 concentrations in both control and E2 treatments and the variability in
505 plasma VTG concentrations, plasma VTG was not a sensitive biomarker for assessing effects of
506 E2 exposure on either species in this study. Furthermore, VTG concentrations did not correlate
507 well with any other endpoint. The most prominent differences between treatments were
508 observed in male F0 fathead minnow SSCI and male bluegill GSI. Additionally, several
509 differences were observed between two generations of fathead minnows exposed to E2 during
510 different life stages, indicating cumulative effects may be important in determining population
511 level effects of exposure to E2 and potentially, other EACs.

512

513 The results from this study indicate that results from controlled laboratory experiments do not
514 correspond well with fish response to E2 exposure in complex aquatic systems, where other
515 environmental factors can greatly affect observed developmental and reproductive responses.
516 Endocrine disruptors often occur in complex mixtures of compounds in aquatic systems and
517 perhaps the cumulative effects of multiple compounds are greater than those elicited by E2
518 alone. Even though E2 is not the most potent estrogen found in aquatic environments, the
519 biogenic origin makes E2 a frequently detected estrogen. Results from this study indicate that
520 effects of E2 on fish appear to be largely affected by exposure timing, with respect to both life
521 stage and in relation to sampling events, and other environmental factors. Fathead minnow and

522 bluegill sunfish response to E2 exposure may have important implications for population
523 sustainability.

ACKNOWLEDGEMENTS

This research was supported by a grant from the Minnesota Environment and Natural Resources Trust Fund. The use of trade or industry names in this paper is for identification purposes only and does not imply endorsement by the U.S. Government. The authors would like to thank UMESC personnel Jeremy Wise, Leanna Jackan, Keith Fitzgerald, and Samantha Bodden, who were responsible for setup and daily maintenance of the mesocosms, and Jeffrey Meinertz who assisted with the experiment design.

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**TABLE 1. Statistical Summary of Aqueous 17 β -estradiol (E2) Concentrations (ng/l)
Within Mesocosms For Each 6-Week Exposure.**

Species	Generation	Life Stage	Exposure Period	Control		E2	
				Median	IQR	Median	IQR
Fathead Minnow	F0	Adult	2010/08/02 – 2010/09/12	5.76	3.13	14.6	12.58
	F1	Fry	2010/08/16 – 2010/09/30	3.68	1.69	7.81	15.23
	F1	Adult	2011/07/22 – 2011/09/02	7.7	5.7	13.93	9.41
Bluegill	F0	Adult	2011/06/07 – 2011/07/19	9.41	9.0	18.75	E20.47*

Notes: E = estimated value; IQR = interquartile range. *IQR was calculated using an estimated value for third quartile extrapolated above the highest standard value used for the calibration curve.

1 **TABLE 2. Median Values of Biological Condition Factor (BCF) (%), Gonadosomatic Index (GSI) (%), Hepatosomatic Index**
2 **(HSI) (%), Plasma Vitellogenin (VTG) (µg/ml), and Secondary Sexual Characteristics Index (SSCI) for Adult Fish Analyzed**
3 **After Exposure to a Control or 17β-estradiol (E2) (30 ng/l, nominal) Solution for 6 Weeks.**

Species	Generation	Treatment	BCF		GSI		HSI		VTG		SSCI
			Female	Male	Female	Male	Female	Male	Female	Male	Male
Fathead Minnow	F0	Control	0.999	1.10	2.15	0.761	1.43	1.35	749	452	3
		E2	0.925**	1.07**	2.19	0.802	1.36	1.55**	424*	256**	2**
	F1	0/0	-	1.16	-	1.15	-	1.28	-	627	5
		30/0	-	1.21	-	1.10	-	1.13	-	752	6
		0/30	-	1.16	-	1.18	-	1.42**	-	241	6
		30/30	-	1.19	-	1.21	-	1.14	-	497	5
Bluegill Sunfish	F0	Control	2.01	2.54	7.50	1.46	1.53	1.01	665	975	-
		E2	2.04	2.55	5.88	0.970*	1.37	1.04	1,330	1,260	-

4 Notes: F0 = parental generation; F1 = second generation; *significantly different from controls within same generation and sex
5 (p<0.05); **significantly different from controls within same generation and sex (p<0.01); Dashes indicate no data was collected. 0/0
6 = no exposure during either juvenile or adult life stages; 30/0 = exposure only during juvenile life stage; 0/30 = exposure only during
7 adult life stage; 30/30 = exposure during both juvenile and adult life stages.

FIGURE 1. Flowchart Showing Experimental Design of Fathead Minnow and Bluegill 17β -Estradiol (E2) Exposures.

FIGURE 2. Photograph Showing One Enclosure Containing Mesocosms Equipped For Bluegill Exposure. Artificial bluegill nests can be seen in the mesocosms.

FIGURE 3. Time Series of Daily Average Cumulative Egg Counts Among Replicates of Individual Treatments For (a) F0 Fathead Minnow, (b) F1 Fathead Minnow (0/0 = control; 30/0 = juvenile exposure; 0/30 = adult exposure; 30/30 = juvenile and adult exposure) and (c) F0 Bluegill Exposed to Either a Control or 17β -Estradiol (E2) (30 ng/l, nominal) Solution.

*significant difference between treatments ($p < 0.01$); ^asignificant difference between 30/0 and 30/30 treatments ($p < 0.01$); ^bsignificant difference between 30/0 and 0/30 ($p < 0.01$).